

# SBM INDIA FUND

## SUBSCRIPTION FORM FOR CLASS B PARTICIPATING SHARES (the "Shares")

To: SBM India Fund (Attention: Officer in Charge, SBM Fund Services Ltd)  
4<sup>th</sup> Floor Raffles Tower  
Cybercity Ebene  
Mauritius

Facsimile: 230 210 3369

### Section 1

### Name Details

#### FIRST SUBSCRIBER

Title\* MR/MRS/MISS/MINOR\*\*

Surname

First Name

Maiden Name (if applicable)

Marital Status

Date of Birth

Nationality

ID card No./Passport No.

Occupation

Business Registration No.

#### SECOND SUBSCRIBER/GUARDIAN\*\*\*

☐ (tick if applicable)

Title\*MR/MRS/MISS/MINOR\*\*

Surname

First Name

Maiden Name (if applicable)

Marital Status

Date of Birth

Nationality

ID card No./Passport No.

Occupation

Business Registration No.

\*Delete as appropriate

\*\*For Minor individual application to be signed by legal guardian

\*\*\*For guardian, please state relationship to minor

Initial.....

## SBM INDIA FUND

### Section 2

Address (where you wish all correspondence to be sent)

#### FIRST SUBSCRIBER

Address

  

Country

Telephone

Fax

Mobile

Email

#### SECOND SUBSCRIBER

Address

  

Country

Telephone

Fax

Mobile

Email

### Section 3

#### Financial Details

Amount invested is derived mainly from\*

Salary/Savings

☐

Loan

☐

Maturing of other investments/sales of shares

☐

Retirement Benefits/Pensions

☐

Rental/Property Sale

☐

Inheritance/Gift

☐

Lottery/Casino/Betting

☐

Dividend/Interest

☐

Other

☐

If other, please specify .....

\*Please tick as appropriate

Have you ever been convicted of any offence in Mauritius or elsewhere or are there any proceedings now pending against you which may lead to such conviction?\*

Yes ☐

No ☐

\*Please tick as appropriate

Initial.....

# SBM INDIA FUND

## Section 4

## Declarations

1. By signing this Subscription Form (the "Form"), the subscriber(s) (the "Subscriber") acknowledges having received the Prospectus (the "Prospectus") of the SBM India Fund (the "Fund") an open ended fund duly registered under the laws of Mauritius and licensed by the Financial Services Commission of Mauritius and hereby irrevocably and unconditionally subscribes for the Class B Participating Shares of the Fund (the "Shares") on the terms and conditions and subject to the restrictions contained in the Prospectus, this Form (and its Annexes), the Rules and Regulations governing this Form and the Constitution of the Fund.
2. I/We acknowledge that all documents required under Section 8 are submitted together with this Form.
3. I/We\* acknowledge that measures aimed at the prevention of money laundering may require verification of my/our\* identity and the source of funds.
4. I/We\* acknowledge that Shares will not be issued until such time as SBM Asset Management Limited (the "Manager") and SBM Fund Services Ltd (the "Administrator") have received and are satisfied with all the information and documentation requested to verify my/our\* identity and the source of funds.
- I/We\* acknowledge that the Manager and the Administrator shall be held harmless against any loss arising as a result of a failure to process my/our\* application for Shares if such information and documentation as has been requested by the Manager and Administrator has not been provided by me/us\*.
5. I/We acknowledge and confirm that I/we shall provide all information about me/us as may be requested from the Manager and Administrator by regulators in India including regulators administering exchange controls and securities regulations, in accordance with applicable law.
6. I/We\* declare that I am a/we are\* private investor(s) who is/are\* making this application on my/our\* own behalf and not, in any way as representative(s) of any other party.
7. I/We\* acknowledge that the Manager and/or its subsidiaries and/or affiliates and to each of their respective employees, officers, directors and agents and/or to any third party employed or engaged to provide investment management services does not guarantee the performance of the Shares or repayment of capital or any particular rate of return or any distribution.

## Section 5

## Particulars

### Investment Details

Please accept this subscription to invest the amount below in the Shares of the SBM India Fund with entry fee of .....% of amount below:

Amount invested: Currency 

U	S	\$
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 Amount in figures

Amount in words

Entry Fee: Currency 

U	S	\$
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 Amount in figures

Amount in words

Initial.....

# SBM INDIA FUND

## Section 6

### Subscriber's Bank Details

Name of Bank and branch from which amount is remitted

Bank Account Number and routing details

In addition, to comply with applicable anti-money laundering/US Treasury Department's Office of Foreign Asset Control ("OFAC") rules and regulations, the Subscriber(s) is/are required to provide the following information:

a. Name of bank from which your payment to the Fund will be wired ("Wiring Bank"):

b. Is the Wiring Bank located in the US or another country that is a member of the Financial Action Task Force?

Yes ☐ No ☐  
(please tick)

c. If the answer to 2 above is "Yes," are you a customer of the Wiring Bank?

Yes ☐ No ☐  
(please tick)

## Section 7

### Signatures

Subscriber 1

Subscriber 2

Date

## Section 8

### Documents required

Please enclose a \*certified copy of ID/Passport with picture page and showing date of birth and nationality and a \*certified copy of a document showing proof of address (i.e.: utility bill not more than 3 months old)

\*Certified: A certifier must be a suitable person, such as a justice of the peace, lawyer, accountant, director or manager of a regulated credit or financial institution, a notary public, a member of the judiciary or a senior civil servant. The certifier should sign the copy document (printing his/her name clearly underneath) and clearly indicate his/her position or capacity on it together with a contact address and phone number. The certifier must indicate that the document is a true copy of the original and that the photo is a true likeness of the person.

## FOR OFFICE USE

Collecting Agent

KYC Verified

☐ Yes ☐ No

Processed by

Verified by