

# CAREER OPPORTUNITIES



SBM is a leading financial services group in Mauritius, with a growing presence in the region, namely in Kenya, India and Madagascar. We serve a client base of more than 875,000 across the corporate, retail and high net worth segments and we provide adapted financial solutions supported by multi-channel capabilities within a universal banking model. The strategy of the Group revolves around modernisation and diversification of its offering, expansion of its markets, enhancement of capabilities prominently with respect to human capital, and effective risk management.

Our employees – currently more than 2,500 across the Group – are at the heart of our growth strategy. In our quest to be the employer of choice, we are laying increasing emphasis on developing and nurturing talent. SBM aims to become a reference in creating talent for a smarter tomorrow.

Open your door to success by joining us in the following challenging position within SBM (NBFC) Holdings Ltd and/or its subsidiaries:

## Compliance Lead - SBM (NBFC) Holdings Ltd

### Job Purpose:

Reporting to the Head of Compliance – Non-Banking Financial Cluster, the job incumbent will be called upon to ensure that the relevant business units are in compliance with the rules and regulations of regulatory agencies, that the companies' policies and procedures are being followed, and that behavior in the organisation meets the standards of conduct as prescribed. The Compliance Lead will also coordinate internal regulatory processes for an entity, which may include preparing documentation for submission to regulatory agencies.

### Key Responsibilities:

- Assist the Head of Compliance in the discharge of the day-to-day duties
- Undertake compliance review of business units to assess compliance with laws, regulatory requirements and internal policy/procedures
- Provide regular and accurate reports to the Head of Compliance and Senior Management on the regulatory compliance matters
- Assess compliance with laws, the KYC/AML/CFT policy and procedures, and other regulatory guidelines/procedures
- Conduct testing and file reviews, including CDD review based on risk assessment
- Assist different Operations teams on risk assessments and AML/CFT queries in a timely manner
- Ensure that training on KYC/AML/CFT is dispensed to all the employees
- Liaise and communicate with other departments and subsidiaries of the Group regarding compliance issues
- Follow developments in local regulatory landscape applicable to the different subsidiaries under SBM (NBFC) Holdings Ltd
- Recommend any improvement in processes/procedures, following compliance review
- Carry out special assignments at the request of the management
- Attend to any other duties directly or indirectly linked to the Compliance function

### Qualifications, Experience & Skills:

- University degree in Accounting, Law, Banking, Finance or any other relevant qualification
- At least 8 years' relevant experience in Banking/Financial Services Sector
- Knowledge of securities laws and AML/CFT legislations/guidelines
- Good knowledge of legislations, regulations, rules and guidelines applicable to licensees of the Financial Services Commission
- Excellent interpersonal, analytical, communication, planning and reporting skills
- Ability to work under pressure

---

If you believe in taking new challenges with the right mindset, please refer to our website for full details and complete our online application form on [www.sbmgroup.mu/vacancies](http://www.sbmgroup.mu/vacancies) by Thursday, 06<sup>th</sup> October 2022.

We thank you for your interest and invite you to grow with us.

Kindly note:

All applications will be dealt with in strict confidence.

Please favour online application.

The Group reserves the right to call only the best candidates for interview OR not to fill this position following this advertisement.

SBM is an equal opportunity employer.

One step in the right direction and a giant leap for your career.